



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Howard J. Jacob et al.
Serial No. : 09/960,234
Filed : September 20, 2001
Title : PHYSIOLOGICAL PROFILING

Art Unit : 3737
Examiner : Unknown

COPY OF PAPERS
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BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts mailed October 23, 2001 (copy enclosed), Applicants, as a Small Entity, submit herewith the following:

1. Preliminary Amendment (4 pages);
2. Combined Declarations and Powers of Attorney in compliance with 37 CFR §1.63 (2 pages);
3. Petition to Accept Color Drawings (1 page);
4. Three (3) sets of substitute color drawings (13 sheets each);
5. Petition for One-Month Extension of Time (1 page);
6. A check in the amount of \$1,186 (\$370 for basic filing fee, \$90 for total claims over 20 (10), \$336 for independent claims over 3 (8), \$140 for multiple dependent claim surcharge, \$65 for late filing of Declarations, \$130 petition fee for color drawings, and \$55 petition fee for One-Month Extension of Time);
7. Postcard.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 23, 2002
Date of Deposit

Signature

Jill Huso

Typed or Printed Name of Person Signing Certificate

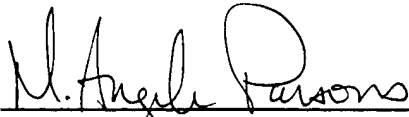
Applicant : Howard J. Jacobson
Serial No. : 09/960,234
Filed : September 20, 2001
Page : 2

Attorney's Docket No.: 13482-002001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: January 23, 2002



M. Angela Parsons, Ph.D.
Reg. No. 44,282

Fish & Richardson P.C., P.A.
60 South Sixth Street
Suite 3300
Minneapolis, MN 55402
Telephone: (612) 335-5070
Facsimile: (612) 288-9696

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,234	09/20/2001	Howard J. Jacob	13482-002001

MARK S. ELLINGER, PH.D.
Fish & Richardson P.C., P.A.
Suite 3300
60 South Sixth Street
Minneapolis, MN 55402



CONFIRMATION NO. 5858

FORMALITIES LETTER



OC00000006955447

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/21/2002 MMHAMM1 00000060 09960234

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	90.00 OP
03 FC:202	336.00 OP
04 FC:204	140.00 OP
05 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$545.
 - \$90 for 10 total claims over 20.
 - \$320 for 8 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 965.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - the application contains color drawings or color photographs, but not a black and white copy of the drawings/photographs (see 37 CFR 1.84(a)(2)(iii) and 1.165(b));

*A copy of this notice **MUST** be returned with the reply.*

Wileen Kuma

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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TRANSMITTAL LETTER

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1. Response to Notice to File Missing Parts of Application (2 pages);
2. Copy of Notice to File Missing Parts (2 pages)
3. Preliminary Amendment (4 pages);
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Date:

January 23, 2002

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